# PQA-CNN: Towards Perceptual Quality Assured Single-Image Super-Resolution in Remote Sensing

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Abstract-Recent advances in remote sensing open up unprecedented opportunities to obtain a rich set of visual features of objects on the earth's surface. In this paper, we focus on a single-image super-resolution (SISR) problem in remote sensing, where the objective is to generate a reconstructed satellite image of high quality (i.e., a high spatial resolution) from a satellite image of relatively low quality. This problem is motivated by the lack of high quality satellite images in many remote sensing applications (e.g., due to the cost of high resolution sensors, communication bandwidth constraints, and historic hardware limitations). Two important challenges exist in solving our problem: i) it is not a trivial task to reconstruct a satellite image of high quality that meets the human perceptual requirement from a single low quality image; ii) it is challenging to rigorously quantify the uncertainty of the results of an SISR scheme in the absence of ground truth data. To address the above challenges, we develop PQA-CNN, a perceptual quality-assured conventional neural network framework, to reconstruct a high quality satellite image from a low quality one by designing novel uncertainty-driven neural network architectures and integrating an uncertainty quantification model with the framework. We evaluate PQA-CNN on a real-world remote sensing application on land usage classifications. The results show that PQA-CNN significantly outperforms the state-of-the-art super-resolution baselines in terms of accurately reconstructing high-resolution satellite images under various evaluation scenarios.

*Index Terms*—Super-Resolution, Perceptual Quality, Uncertainty-Aware, Convolutional Neural Network

## I. INTRODUCTION

With the advent of high precision optical and image processing technologies, satellite-based remote sensing has become a powerful sensing paradigm that can obtain abundant visual features of the objects residing on the earth's surface [1]. Examples of remote sensing applications include performing damage assessment during disaster scenarios [2], predicting the poverty in underdeveloped areas [3], detecting cholera outbreaks from water bodies [4], and monitoring refugee movements in armed-conflict zones [5]. In this paper, we focus on a single-image super-resolution (SISR) problem in remote sensing, where the objective is to generate a reconstructed satellite image with high quality (i.e., a high spatial resolution) from a single satellite image with a relatively low quality. One example of our application scenarios is the classification of diversified land usages in a city (e.g., urban areas, trees, lakes, and transportation) where the classification

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results can help address important urban and social questions (e.g., assessment of urban environmental impacts and potential anthropogenic activities involved on land) [6]. Figure 1 shows an example of a land usage classification scenario involving different geographical components in an area. We observe that different land classes can be easily messed up if the quality (resolution) of the satellite image is low. For example, with the high-resolution image in Figure 1(a), the lake is correctly classified. However, in the case of the low-resolution image in Figure 1(b), both the lake and some buildings are misclassified as trees.



Figure 1. Classification of Diversified Land Usage Classes

While the high quality satellite images are normally more desirable as shown in the above example, they are not always available in remote sensing applications [7]. The reasons are multi-fold. First, high-resolution sensor packages are often quite expensive [8]. For example, a set of 8 high-resolution multi-spectral sensors kit required for a reasonable spatial resolution (e.g.,  $10 \text{ m} \times 10 \text{ m}$ ) costs more than 100,000 USD [9]. Second, many remote sensing applications need to utilize the historical satellite imagery data to study the spatial and temporal dynamics of an area or phenomenon (e.g., land cover changes [10], population migration [11]). Unfortunately, a large amount of historic satellite images are only available in relatively low-resolutions [12]. Third, it is hardly possible to have the 24/7 high-resolution image coverage of all objects on earth given the current satellite image updating frequency (i.e., from daily to yearly) and communication bandwidth constraints [13]. Therefore, there exists a strong motivation to develop an effective solution to accurately reconstruct highresolution images from the low-resolution ones.

Efforts have been made to address the super-resolution problem in image processing, remote sensing, and deep learning [14]–[18]. Examples of those solutions include

regularization-based image interpolation [14], image-upscaling using sub-pixel morphing [15], single-frame superresolution through convolutional neural networks [16], and single-image upscaling using deep residual networks [18]. However, two important challenges have not been well addressed by current solutions. We elaborate them below.

Perceptual Quality Assurance. The first challenge lies in providing the desired perceptual quality assurance of the reconstructed satellite images from an SISR solution. The perceptual quality is a metric defined to describe the quality of a reconstructed satellite image as perceived by humans [19]. Previous efforts in remote sensing often failed to provide such perceptual quality assurance of the reconstructed images [14], [16], [18] due to two important limitations. First, current SISR solutions mainly focus on improving the pixel-wise estimation accuracy (e.g., peak signal-to-noise ratio (PSNR), structural similarity index (SSIM)) of the reconstructed images [14], [16], and ignore the actual perceptual quality [20]. Second, many current solutions utilize the deep neural networks to generate high-quality reconstructed images, which either introduce additional noise or ruin the structural integrity of images during the reconstruction process [16], [18]. Therefore, current super-resolution schemes often generate images that are suboptimal to human perception, which can lead to inappropriate decision makings (e.g., inaccurate land usage classifications as shown in Figure 1).

Uncertainty-aware Super-resolution. The second challenge lies in the rigorous uncertainty quantification of the results (e.g., RGB values in reconstructed images) generated by an SISR scheme in the absence of ground truth data. For example, in an SISR based disaster damage assessment application, the uncertainty quantification of the assessment results (e.g., estimation confidence of an area being severely damaged in a reconstructed image) is critical to make life-saving decisions (e.g., where to dispatch the rescue teams) [21]–[23]. An important question that remains to be answered here is how to rigorously quantify the uncertainty of the results produced by SISR schemes without knowing the ground truth labels *a priori* and how to leverage the uncertainty quantification results to improve the quality of reconstructed images.

To address the above challenges, we develop an perceptual quality assured convolutional neural network (PQA-CNN) approach to solve the SISR problem in remote sensing applications. To address the first challenge, we design a duobranch neural network that consists of two complementary convolutional neural architectures (i.e., Duo-CNN) that work collaboratively to achieve the desirable perceptual quality of the reconstructed images. To address the second challenge, we develop an uncertainty-driven ensemble model that integrates a maximum likelihood estimation approach with the Duo-CNN to accurately quantify the uncertainty of the estimation results. The uncertainty quantification is then used to guide the refinement of the reconstructed images. To the best of our knowledge, PQA-CNN is the first uncertainty-aware neural network approach to address the SISR problem in remote sensing. The perceptual quality-driven and uncertain-aware nature of our approach makes it possible to reconstruct a high resolution image with perceptual quality assurance from a single low resolution image. We evaluate PQA-CNN through a real-world remote sensing application where the satellite imagery dataset is collected from two different cities in Europe using Google Maps Platform. The results show that PQA-CNN significantly outperforms the state-of-the-art SISR baselines by reconstructing satellite images with higher perception quality under various evaluation scenarios.

# II. RELATED WORK

# A. Remote Sensing

In recent times, remote sensing has received a significant amount of attention, enabling many applications that capture different phenomena occurring on the earth [1]. For example, Cervone et al. developed a machine learning based disaster damage assessment system by fusing satellite imagery with Twitter data [2]. Müller et al. utilized satellite imagery to assess the latent effects of human migration over the hydrological process of a river basin [5]. Zou et al. proposed a deep learning based feature selection for scene classification of satellite imagery [24]. Several important challenges prevail in current remote sensing applications. Examples include data irregularity, image obscurity, privacy concerns, and noise propagation [25]. The SISR task using low-resolution satellite imagery data remains to be an open and challenging problem in remote sensing. In this paper, we design a novel PQA-CNN framework to address this problem by developing novel convolutional neural network architectures and uncertainty quantification mechanisms.

## B. Super-Resolution

Current solutions to the super-resolution problem can be classified into two categories: conventional and deep learning approaches [14]-[18]. Conventional approaches: Lukin et al. explored a regularization-based image interpolation method for image enhancement by using filtering and convergence techniques [14]. Yang et al. presented a morphing-based superresolution method that leverages the complementary information contained in different sub-pixels among multiple lowresolution frames to construct a high-resolution image [15]. Deep learning approaches: Dong et al. proposed a conventional neural network approach to upscale low-resolution images to high-resolution ones through the bicubic interpolation [16]. Ledig et al. developed a generative adversarial network framework to generate high-resolution images from low-resolution ones through an optimization process regularized by adversarial and perceptual losses [17]. Lee et al. designed a deep residual network approach to improve the quality of the generated high-resolution images using a set of optimized residual blocks [18]. However, the above approaches often failed to provide the assured perceptual quality of the reconstructed high-quality satellite images in remote sensing because they either ignore the actual perceptual quality of the reconstructed satellite images or mainly focus on a single neural network design that does not address the noise and structural integrity issue well in the image reconstruction process [20]. In this paper, we develop a perceptual quality assured SISR scheme that integrates the uncertainty quantification model with the deep convolutional neural networks to provide high-resolution reconstructed images with perceptual quality assurance.

# C. Uncertainty-Aware Estimation and Deep Learning

Our work is also related to the uncertainty-aware estimation and deep learning techniques, which have been studied in many areas such as reinforcement learning, computer vision, image generation, and Internet-of-Things [26]-[33]. For example, Wang et al. developed a set of uncertainty quantification schemes to rigorously quantify the quality of information in social sensing applications [26]. Houthooft et al. designed a curiosity-driven exploration strategy for highdimensional deep reinforcement learning using Bayesian neural networks [30]. Yasarla et al. proposed a multi-scale residual learning framework based on cycle spinning that leverages the uncertainty of prediction for image de-raining tasks [31]. Tang et al. developed a multi-channel generative adversarial network that uses cascaded semantic uncertainty to improve the performance of the cross-view image translation [32]. However, a unique challenge in satellite-based remote imagery is the need for perceptual quality assurance, for which the current solutions on uncertainty quantification are not designed to address. In contrast, the PQA-CNN framework is the first work that aims to leverage the quantified uncertainty to reconstruct a high-resolution satellite image with high perceptual quality.

## **III. PROBLEM DESCRIPTION**

In this section, we formally define the perceptual quality assured single-image super-resolution problem in remote sensing. We first define a few key terms that will be used in the problem statement.

Definition 1: Sensing Cell: Given a studied area (e.g., a city) where we collect the satellite imagery data for the superresolution task, we first divide the studied area into disjoint sensing cells. Each cell represents a subarea of interest (e.g.,  $250m \times 250m$  as shown in Figure 2). In particular, we define N to be the number of sensing cells in the studied area and n to be the n<sup>th</sup> sensing cell.

Definition 2: Low-Resolution Satellite Image (L): we define L to be the satellite image (e.g., historical satellite imagery data) from each sensing cell collected in a specific remote sensing application. The low-resolution satellite image is usually in a relatively low spatial resolution (e.g.,  $112 \times 112$  resolution for a sensing cell as shown in (A) of Figure 2). In particular, we define  $L^n$  to represent the low-resolution satellite image collected from the sensing cell n.

Definition 3: High-Resolution Satellite Image (H): We define H to be the high-resolution satellite image for each sensing cell, which has a relatively high resolution (e.g.,  $224 \times 224$  resolution for a sensing cell as shown in (B) of Figure 2). The high-resolution satellite images often provide more fine-grained details of the objects (e.g., clear building

outlines and road edges). In particular, we define  $H^n$  to be the *actual* high-resolution satellite image of the sensing cell  $n_i$ .

Definition 4: Reconstructed High-Resolution Satellite Image  $(\hat{H})$ : We also define  $\hat{H}$  to be the *reconstructed* highresolution satellite image, which is generated by our superresolution scheme from the corresponding low-resolution satellite image L. In particular, we define  $\hat{H}^n$  to represent the *reconstructed* high-resolution satellite image for the sensing cell n and our goal is to ensure the reconstructed satellite image is as close to the *actual* high resolution satellite image  $H^n$  as possible.



Figure 2. Low and High Resolution Satellite Images

Definition 5: Uncertainty Matrix ( $\mathcal{U}$ ): Let us consider the error between the *actual* and *reconstructed* high resolution satellite images (i.e., H and  $\hat{H}$ ), where such an estimation error often follows a normal distribution [34]:

$$H - \dot{H} \sim \mathcal{N}(\mathbf{0}, \mathcal{U}^2) \tag{1}$$

where  $H - \hat{H}$  is the matrix to represent the error of estimated RGB values at all pixels in the image.  $\mathcal{U}$  is the *uncertainty* matrix that represents the standard deviation of the estimation errors. Such an uncertainty matrix is essential to refine the *reconstructed* satellite image  $\hat{H}$  to achieve the desired perceptual image quality, which will be discussed in detail in next section.

Definition 6: **Perceptual Quality**: To evaluate the quality of  $\hat{H}$ , we use the state-of-the-art perceptual metric [20] to quantify the perceptual difference between the *actual* and *reconstructed* satellite images as follows:

$$\Phi(H,\widehat{H}) = \Gamma[\Theta(H) - \Theta(\widehat{H})]$$
<sup>(2)</sup>

where we set the  $\Phi(\cdot)$  to represent the perceptual metric.  $\Theta(H)$ and  $\Theta(\hat{H})$  represents the extracted deep feature vectors from the *actual* and *reconstructed* satellite images using ImageNettrained deep convolutional neural networks (e.g., VGG [35]).  $\Gamma(\cdot)$  is a function to calculate the difference between two deep feature vectors (e.g., Mean Squared Error (MSE), Mean Absolute Error (MAE)). This metric has been proven to be robust in capturing perceptual quality of images [19], [36].

The goal of the single-image super-resolution problem in remote sensing is to accurately reconstruct the high-resolution satellite image for each sensing cell from the collected lowresolution satellite image in that cell. Using the definitions above, our problem is formally defined as:

$$\underset{\widehat{H^n}}{\arg\min}(\Gamma[\Theta(H^n) - \Theta(\widehat{H^n})] \mid L^n), \quad \forall 1 \le n \le N$$
(3)

It is a challenging problem to reconstruct such a highresolution satellite image with desired perceptual quality given the excessive fine-grained details in each satellite image, and the fuzzy and inadequate visual evidence provided by the input low-resolution satellite image. In this paper, we develop a PQA-CNN scheme to address these challenges, which is elaborated in the next section.

## IV. SOLUTION

#### A. Overview of PQA-CNN Framework

PQA-CNN is an uncertainty-aware convolutional neural network framework to address the SISR problem in remote sensing. The overview of the PQA-CNN framework is shown in Figure 3. It consists of two major components:

- Uncertainty-aware Duo-CNN Architecture: it constructs two effective yet complementary convolutional neural network architectures (i.e., *pre-upscaling* and *posupscaling* networks) to reconstruct the high-resolution satellite images and infer the uncertainty matrices.
- Uncertainty-driven Satellite Imagery Ensemble: it leverages the estimated uncertainty matrices from the Duo-CNN component to ensemble the satellite images generated by both *pre-upscaling* and *pos-upscaling* networks to further improve the perceptual quality of the reconstructed images.



Figure 3. Overview of PQA-CNN framework

#### B. Uncertainty-Aware Duo-CNN Architecture

In this subsection, we present the Duo-CNN architecture design in our framework. The Duo-CNN consists of two convolutional neural network architectures to 1) reconstruct the highresolution satellite images, and 2) infer the uncertainty matrices to quantify the accuracy of the estimated RGB values in the reconstructed images. In particular, we employ two different yet complementary neural network design strategies in Duo-CNN: *pre-upscaling* and *post-upscaling*. In *pre-upscaling*, it

first scales the resolution of a low-resolution image to a highresolution one (we refer to the process as upscaling) and then refines the generated high-resolution image to remove noise [37]. In post-upscaling, it first extracts and refines the semantic features from a low-resolution satellite image and then scales the refined semantic features to a high-resolution image [38]. The pre-upscaling can often effectively reduce the noise but is more likely to ruin the structure integrity (e.g., making building outlines fuzzier) in the reconstructed images. The post-upscaling often has an opposite effect on the images compared to the pre-scaling (i.e., successfully preserving the structure integrity while introducing the noise). Our Duo-CNN framework integrates both pre-upscaling and post-upscaling to reconstruct the satellite images to explore the benefits from both networks to improve the image quality. We define the two types of convolutional neural networks of our design as follows:

Definition 7: **Pre-upscaling Network** (*Pre-Net*): We define *Pre-Net* to be a *pre-upscaling* convolutional neural network architecture to reconstruct the high-resolution image  $\hat{H}_{pre}$  and generate the corresponding uncertainty matrix  $\mathcal{U}_{pre}$  as follows:

$$\langle H_{pre}, \mathcal{U}_{pre} \rangle = Pre\text{-Net}(L)$$
 (4)

where L is the low-resolution satellite image as the input to *Pre-Net*.

An example of the pre-upscaling network architecture and the associated model parameters are illustrated in Figure 4 In particular, it includes four different modules: a bicubic interpretation (BI) module, an image encoding module, an image decoding module, and an uncertainty matrix generation module. In the bicubic interpolation module, a bicubic interpolation operation <sup>1</sup> is applied to upscale a low-resolution image to a high-resolution one. The image encoding module contains a set of ReflectionPad-Convolution-Relu operations [40] to convert the upscaled satellite images to semantic feature representations and filters out the noise introduced by the bicubic interpolation process. Finally, the outputs of image encoding module are fed in parallel into both the image decoding and uncertainty matrix generation modules. The image decoding module converts the de-noised semantic feature representations to the reconstructed satellite images and the uncertainty matrix generation module generates the uncertainty matrix of the RGB values in the reconstructed images. Given the above pre-upscaling network architecture, our next question is how to define a loss function for our model to generate the highresolution reconstructed images together with the uncertainty matrices.

To that end, we define the loss function  $\mathcal{L}_{pre}$  for our Pre-Net that contains two sub-loss functions as follows:

$$\mathcal{L}_{\text{pre}} : \min \left( \mathcal{L}_{\text{reconstruct}}^{\text{pre}} + \mathcal{L}_{\text{uncertain}}^{\text{pre}} \right)$$
(5)

where  $\mathcal{L}_{reconstruct}^{pre}$  is the first sub-loss function to ensure our Pre-Net generates the high quality reconstructed images  $\hat{H}_{pre}$ , and

<sup>&</sup>lt;sup>1</sup>Bicubic interpolation is a conventional interpolation operation for image upscaling that fills an empty pixel by leveraging the RGB values from its neighboring pixels [39].



Figure 4. Illustration of Pre-upscaling Network (Pre-Net)

 $\mathcal{L}_{uncertain}^{pre}$  is the second sub-loss function to ensure our Pre-Net derives accurate uncertainty matrix  $\mathcal{U}_{pre}$ . In particular, we first define the first sub-loss function  $\mathcal{L}_{reconstruct}^{pre}$  as follows:

$$\mathcal{L}_{\text{reconstruct}}^{\text{pre}} : \min\left(\mathcal{L}_{\text{perceptual}}(H, \widehat{H}_{pre}) + \mathcal{L}_{\text{pixel}}(H, \widehat{H}_{pre})\right) (6)$$

where  $\mathcal{L}_{\text{perceptual}}(H, \hat{H}_{pre})$  is the perceptual loss [20] to quantify the perceptual difference between the actual and reconstructed images.  $\mathcal{L}_{\text{pixel}}(H, \hat{H}_{pre})$  is the Mean Squared Error (MSE) loss [41] to measure the pixel-wise RGB value difference between the actual and reconstructed images, which is used to reduce the pixel-wise noise in Pre-Net.

Next, we formulate a maximum likelihood estimation problem to derive the second sub-loss function  $\mathcal{L}_{uncertain}^{pre}$ . Our goal is to estimate the uncertainty matrix  $\mathcal{U}_{pre}$  given the difference between the *actual* and *reconstructed* satellite images (i.e., (*H* -  $\hat{H}_{pre}$ ) as defined in Definition 5). By observing such an estimation discrepancy often follows a normal distribution [34], we derive the likelihood function of our estimation as follows:

$$\mathbb{L}(\mathcal{U}_{pre}|H - H_{pre}) = (2\pi ||\mathcal{U}_{pre}||_2)^{-\frac{1}{2}} exp(-\frac{1}{2||\mathcal{U}_{pre}||_2}||H - \widehat{H}_{pre}||_2)$$
(7)

We can then derive the log-likelihood function accordingly:

$$log\mathbb{L}(\mathcal{U}_{pre}|H - H_{pre}) = -\frac{1}{2}log2\pi - \frac{1}{2}log||\mathcal{U}_{pre}||_2 - \frac{1}{2}||\mathcal{U}_{pre}||_2||H - \widehat{H}_{pre}||_2$$
(8)

Our goal is to maximize  $log \mathbb{L}(\mathcal{U}_{pre}|H - \hat{H}_{pre})$  to obtain an accurate uncertainty matrix estimation. This leads to the definition of the second sub-loss function  $\mathcal{L}_{uncertain}^{pre}$  as the negation of  $log \mathbb{L}(\mathcal{U}_{pre}|H - \hat{H}_{pre})$ :

$$\mathcal{L}_{\text{uncertain}}^{\text{pre}} : \\ \min\left(\frac{1}{2}log||\mathcal{U}_{pre}||_2 + \frac{1}{2||\mathcal{U}_{pre}||_2}||H - \hat{H}_{pre}||_2 + \frac{1}{2}log2\pi\right)$$
(9)

By minimizing the loss function  $\mathcal{L}_{uncertain}^{pre}$ , we can obtain the optimal uncertainty matrix  $\mathcal{U}_{pre}$  that maximizes the above likelihood function  $\mathbb{L}(\mathcal{U}_{pre}|H - \widehat{H}_{pre})$ .

Definition 8: Post-upscaling Network (Pos-Net): We define Pos-Net to be a post-upscaling convolutional neural network architecture to reconstruct the high-resolution image  $H_{pos}$  and generate the corresponding uncertainty matrix  $U_{pos}$  as follows:

$$\langle \hat{H}_{pos}, \mathcal{U}_{pos} \rangle = Pos-Net(L)$$
 (10)

where L is the low-resolution satellite image as the input to *Pos-Net*.

An example of the post-upscaling network architecture and associated model parameters are illustrated in Figure 5. In particular, it also includes four different modules: an image encoding module, a residual block module, an image decoding module, and an uncertainty generation module. Different from the Pre-Net, the image encoding module directly takes the lowresolution image as the input and extracts the semantic feature representations from the images. This is done to ensure the structure integrity in the reconstructed satellite images. The residual block module has multiple residual blocks to segment individual objects of an image and apply augmented contents to improve the resolution of the identified objects. Similar to Pre-Net, the upscaled semantic feature representations of the image are simultaneously fed into two parallel output modules, i.e., image decoding and uncertainty matrix generation modules, where the outputs are the reconstructed satellite image and the corresponding uncertainty matrix.



Figure 5. Illustration of Post-upscaling Network (Pos-Net)

Similar to Pre-Net, we define the loss function  $\mathcal{L}_{pos}$  for our Pos-Net that contains two sub-loss functions to generate the reconstructed image  $\hat{H}_{pos}$  and the uncertainty matrix  $\mathcal{U}_{pos}$  as:

$$\mathcal{L}_{\text{pos}} : \min\left(\mathcal{L}_{\text{reconstruct}}^{\text{pos}} + \mathcal{L}_{\text{uncertain}}^{\text{pos}}\right)$$
(11)

where  $\mathcal{L}_{reconstruct}^{pos}$  is defined as:

$$\mathcal{L}_{\text{reconstruct}}^{\text{pos}} : \min \mathcal{L}_{\text{perceptual}}(H, \widehat{H}_{pos})$$
(12)

Note that we only consider the perceptual loss in Pos-Net and ignore the pixel-wise MSE loss. This is done to enforce the Pos-Net to focus on generating images with high perceptual quality that preserves the structure integrity. In addition, we define the sub-loss function  $\mathcal{L}_{uncertain}^{pos}$  in a similar way as the Pre-Net:

$$\mathcal{L}_{\text{uncertain}}^{\text{pos}} : \\ \min\left(\frac{1}{2}log||\mathcal{U}_{pos}||_{2} + \frac{1}{2||\mathcal{U}_{pos}||_{2}}||H - \widehat{H}_{pos}||_{2} + \frac{1}{2}log2\pi\right)$$
(13)



Figure 6. An Example of the Combined High-Resolution Image Generated by PQA-CNN

#### C. Uncertainty-driven Satellite Imagery Ensemble

In this subsection, we leverage the estimated uncertainty matrices ( $\mathcal{U}_{pre}$  and  $\mathcal{U}_{pos}$ ) output by the Duo-CNN networks to guide the ensemble of the satellite images generated by the pre-upscaling and post-upscaling networks (i.e.,  $\hat{H}_{pre}$  and  $\hat{H}_{pos}$ ) to further improve the quality of the reconstructed images. We first define a key term in our ensemble mechanism as follows.

Definition 9: Combined High-Resolution Satellite Image  $(\hat{H}_{combine})$ : We define  $\hat{H}_{combine}$  to be a high-resolution satellite image, where the RGB value at each pixel is a combination of the RGB values from the reconstructed satellite images  $(\hat{H}_{pre} \text{ and } \hat{H}_{pos})$  generated from Pre-Net and Pos-Net as follows:

$$\widehat{H}_{combine} = (\mathbf{1} - \Lambda) \cdot \widehat{H}_{pre} + \Lambda \cdot \widehat{H}_{pos}$$
(14)

where  $\Lambda$  is a matrix to indicate the weights of each component at all pixels in the combined high-resolution image. **1** is a matrix with the same dimension as  $\Lambda$ , where all elements in **1** equal 1.

The key question now is how to derive the values in  $\Lambda$  to optimize the quality of the combined satellite image  $\hat{H}_{combine}$ . To address this problem, we first consider the probabilistic model for the error between the actual and reconstructed satellite images generated by Duo-CNN as defined in Equation 1. We perform a random variable transformation to obtain the probabilistic models for the RGB values in the reconstructed images (i.e.,  $\hat{H}_{pre} \sim \mathcal{N}(H, \mathcal{U}_{pre}^2)$  and  $\hat{H}_{pos} \sim \mathcal{N}(H, \mathcal{U}_{pos}^2)$ ). Using these models, we can derive the distribution of  $\hat{H}_{combine}$  in Equation (14) as follows:

$$H_{combine} \sim \mathcal{N}((\mathbf{1} - \Lambda) \cdot H, ((\mathbf{1} - \Lambda) \cdot \mathcal{U}_{pre})^2) + \mathcal{N}(\Lambda \cdot H, (\Lambda \cdot \mathcal{U}_{pos})^2)$$
(15)

We consider the ensemble mechanism to be optimized when the Pro-Net and Pos-Net share the maximum agreement in the estimation confidence/uncertainty of the pixel-wise RGB values in the reconstructed satellite image [42]. We enforce such an agreement by setting the variances of the two networks to be the same:

$$((\mathbf{1} - \Lambda) \cdot \mathcal{U}_{pre})^2 = (\Lambda \cdot \mathcal{U}_{pos})^2 \tag{16}$$

We can then derive the value of  $\Lambda$  as follows:

$$\Lambda = \frac{\mathcal{U}_{Pre}}{\mathcal{U}_{pre} + \mathcal{U}_{pos}} = \frac{\mathcal{U}_{pos}^{-1}}{\mathcal{U}_{pre}^{-1} + \mathcal{U}_{pos}^{-1}}$$
(17)

We plug the derived  $\Lambda$  value into Equation (14) as follows:

$$\widehat{H}_{combine} = \frac{\mathcal{U}_{pre}^{-1}}{\mathcal{U}_{pre}^{-1} + \mathcal{U}_{pos}^{-1}} \cdot \widehat{H}_{pre} + \frac{\mathcal{U}_{pos}^{-1}}{\mathcal{U}_{pre}^{-1} + \mathcal{U}_{pos}^{-1}} \cdot \widehat{H}_{pos}$$
(18)

where  $\hat{H}_{combine}$  is the final output of our PQA-CNN framework.

We further define a loss function  $\mathcal{L}_{combine}$  to ensure the perceptual quality of the combined satellite image generated by the uncertainty-driven satellite imagery ensemble mechanism:

$$\mathcal{L}_{\text{combine}} : \min \mathcal{L}_{\text{perceptual}}(H, H_{combine})$$
 (19)

where  $\mathcal{L}_{\text{perceptual}}(H, \dot{H}_{combine})$  is the loss function to measure the perceptual difference between the actual and reconstructed satellite images as discussed in the previous subsection.

An example of the combined satellite image generated by our PQA-CNN framework is shown in Figure 6. First, we observe that the Pre-Net effectively reduces the noise from the input image but introduces a certain amount fuzziness into the reconstructed image. However, the fuzzy areas (e.g., building outlines) are accurately captured by the uncertainty matrix  $U_{pre}$  as shown in the figure <sup>2</sup>. Similarly, we observe that the Pos-Net successfully preserves the structure integrity but introduces a noticeable amount of noise (i.e., white dots in the figure). However, the noisy points are also accurately captured by the uncertainty matrix  $U_{post}$ . Finally, we observe that the combined satellite image achieves an clearly improved perceptual quality compared to the input image as well as the reconstructed images from both Pre-Net and Post-Net.

Finally, we briefly summarize the optimization process of our PQA-CNN framework to learn the optimal parameters of Pre-Net and Pos-Net (i.e., Pre-Net\* and Pos-Net\*) based on the loss functions defined above. We first define an aggregated loss function  $\mathcal{L}_{overall}$  for our PQA-CNN framework as:

$$\mathcal{L}_{\text{overall}} : \min\left(\mathcal{L}_{\text{pre}} + \mathcal{L}_{\text{pos}} + \mathcal{L}_{\text{combine}}\right)$$
(20)

The aggregated loss function combines the loss functions defined in each component of PQA-CNN: i.e.,  $\mathcal{L}_{pre}$  (Equation (5)),  $\mathcal{L}_{pos}$  (Equation (11)), and  $\mathcal{L}_{combine}$  (Equation (19)). By minimizing the aggregated loss, we ensure both Pre-Net and Pos-Net generate high quality reconstructed satellite images, which is used to generated the combined high-resolution satellite images. The loss function  $\mathcal{L}_{overall}$  can be

<sup>&</sup>lt;sup>2</sup>A darker color of a pixel in the uncertainty matrix graph indicates a higher degree of uncertainty for the generated RGB value of the corresponding pixel in the reconstructed image.

optimized using the Adaptive Moment Estimation (Adam) optimizer [43], which obtains the optimal parameters of both upscaling networks  $PosNet^*$  and  $PreNet^*$ .

## V. EVALUATION

## A. Dataset

In our experiment, we collect real-world satellite imagery datasets from two different cities in Spain (i.e., *Barcelona* and *Madrid*), a region well known for its diversified land features [44]. The collected satellite imagery data belongs to three diversified land usage classes (i.e., *urban fabric*, *forest and green land*, and *transportation* as shown in Figure 7). These classes have distinct visual and semantic characteristics (e.g., object layout and density, color distributions and complexity), which present a challenging evaluation scenario for the SISR problem we studied. We summarize the datasets as follows:

**Google Maps Satellite Imagery Dataset**: We collect the satellite imagery datasets from *Barcelona* and *Madrid* using Google Map Platform <sup>3</sup>. In our evaluation, each collected original satellite image is in  $224 \times 224$  resolution with a  $250m \times 250m$  ground coverage, which is considered as a *high* resolution satellite image in our evaluation as it provides sufficient visual information for our defined sensing cell [45]. In addition, we adopt the widely-used *bicubic interpretation* tool implemented in *scikit-image* package <sup>4</sup> to reduce the resolution satellite image in our experiment (i.e., each low-resolution satellite image is in  $112 \times 112$  resolution as shown in Figure 2 (A)). Finally, we randomly select 1,200 *high* and *low* satellite images (i.e., 600 from each category) from the studied area for our experiments.



Figure 7. Examples of Satellite Imagery Data in Different Land Usage Classes

## B. Baselines

We compare PQA-CNN with representative *conventional* and *deep learning* baselines that are used to solve the SISR problem.

- 1) Conventional Models
  - Nearest-neighbour (NN) [46]: it is a conventional satellite image upscaling scheme that fills each empty pixel with the same RGB value as the nearest available neighboring pixel.

- **Bi-linear/quadratic/cubic** [39]: it is a set of representative satellite image super resolution schemes that leverage the bi-linear/quadratic/cubic interpolation techniques to generate an estimated RGB value for each empty pixel from its neighboring pixels.
- 2) Deep Learning Models
  - **SFSR18** [16]: it utilizes the bi-cubic interpolation and conventional neural networks to generate the high-resolution satellite image with a dedicated image refining process to improve the quality of reconstructed images.
  - SRGAN17 [17]: it imposes a generative adversarial network architecture that utilizes an image generator network and an image discriminator network to refine the reconstructed images.
  - SRResNet19 [18]: it is a deep convolutional neural network that leverages multiple residual blocks with skip-connection to capture the complex mapping between the low and high-resolution satellite images in the image reconstruction process.

## C. Evaluation Metrics and Settings

To evaluate the performance of all compared schemes, we use the perceptual metric (discussed in Definition 6), which has proven to be an accurate metric that is close to human perception in the recent computer vision studies [19], [20], [36]. In particular, we use three commonly used deep features extracted before the 1st, 2nd, 3rd convolutional layers of the  $4^{th}$  convolutional block in VGG model (namely,  $VGG_{4-1}$ ,  $VGG_{4-2}$ ,  $VGG_{4-3}$ ). In addition, we adopt two commonly used error measurement functions (i.e.,  $\Gamma(\cdot)$  in Definition 6): i) Mean Absolute Error (MAE) and ii) Mean Squared Error (MSE) to calculate the difference between the deep features extracted from the actual and reconstructed satellite images. Intuitively, a lower value in the error metric represents a higher perceptual quality and a better visual similarity between the actual and reconstructed satellite images, which indicates a better super-resolution performance. Note that we do not use pixel-wise evaluation metrics (e.g., PSNR, SSIM) in our evaluation as they are shown to be suboptimal in evaluating the actual perceptual quality of the images [19], [20].

In our experiment, we randomly sample 70% satellite images as training dataset and 10% satellite images as validation dataset to tune hyper-parameters of all compared algorithms. We then use the rest 20% satellite images as testing dataset to evaluate the performance of all compared algorithms. In addition, all hyper-parameters are optimized using the Adam optimizer [43]. In particular, we set the learning rate to be  $10^{-4}$  and set the batch size to be 1 in our experiment. In addition, the model is trained over 500 epochs for all compared schemes.

#### D. Evaluation Results

**Evaluation results on perceptual quality for** *urban fabric*: In the first set of experiments, we study the performance of all compared schemes in *Barcelona* and *Madrid*, where

<sup>&</sup>lt;sup>3</sup>https://developers.google.com/maps/documentation/

<sup>&</sup>lt;sup>4</sup>https://scikit-image.org/docs/dev/api/skimage.transform.html\#skimage.transform.resize

			Studied City	= Barcel	Studied City = Madrid						
		$ $ $VGG_{4-1}$ $ $ $VGG$		$G_{4-2} \mid VGG$		$G_{4-3} \parallel VGG$	$G_{4-1} \mid VGC$	$\overline{G_{4-2}} \mid VG_{2}$		$\tilde{J}_{4-3}$	
Category	Algorithm	MAE	MSE   MAE	MSE	MAE	MSE    MAE	MSE   MAE	MSE	MAE	MSE	
	NN	1.1546	4.9464   0.6487	2.5650	0.4962	1.6637    1.2060	5.3422   0.6962	2.8903	0.5247	1.9278	
Conv.	Bilinear	1.1396	5.0302   0.6442	2.6017	0.4891	1.6467    1.2458	5.9456   0.7152	3.1382	0.5293	1.9538	
Model	Biquadratic	1.1091	4.7499   0.6222	2.4396	0.4703	1.5286    1.2084	5.5693   0.6893	2.9282	0.5088	1.8113	
	Bicubic	1.1125	4.7782   0.6253	2.4584	0.4730	1.5450    1.2132	5.6149   0.6934	2.9572	0.5121	1.8341	
	SFSR18	1.1138	4.5774   0.6190	2.3757	0.4634	1.4894    1.1604	4.9477   0.6519	2.6021	0.4814	1.6420	
Deep	SRGAN17	1.0551	4.2401   0.5780	2.1161	0.4321	1.3126    1.1130	4.6791   0.6157	2.3641	0.4538	1.4706	
Model	SRResNet19	1.0601	4.2572   0.5800	2.1250	0.4346	1.3255    1.1088	4.6512   0.6144	2.3512	0.4525	1.4598	
Ours	PQA-CNN	1.0195	3.9818   0.5561	1.9736	0.4155	1.2265    1.0701	4.3510   0.5901	2.1864	0.4335	1.3526	

 Table I

 PERFORMANCE COMPARISONS (CLASS = Urban Fabric)

Ta	ble II	
PERFORMANCE COMPARISONS	(CLASS = Forrest and	Green Land)

			Studied City	Studied City = Madrid								
		VGC	$G_{4-1} \mid VGC$	VGG <sub>4-2</sub>		$\vec{r}_{4-3}$	$VGG_{4-1}$		$VGG_{4-2}$		VGG <sub>4-3</sub>	
Category	Algorithm	MAE	MSE   MAE	MSE	MAE	MSE	MAE	MSE	MAE	MSE	MAE	MSE
	NN	0.7527	2.4017   0.4763	1.2522	0.3819	0.9518	1.0164	4.0094	0.6208	2.2225	0.4795	1.5691
Conv.	Bilinear	0.6964	2.1695   0.4325	1.0525	0.3276	0.6925	0.9579	3.7372	0.5729	1.9716	0.4240	1.2430
Model	Biquadratic	0.6865	2.0991   0.4233	1.0136	0.3195	0.6589	0.9363	3.5478	0.5559	1.8635	0.4108	1.1667
	Bicubic	0.6890	2.1160   0.4261	1.0246	0.3219	0.6692	0.9394	3.5738	0.5590	1.8807	0.4133	1.1809
	SFSR18	0.6943	2.1025   0.4280	1.0362	0.3168	0.6529	0.9445	3.4894	0.5549	1.8315	0.4037	1.1477
Deep	SRGAN17	0.6193	1.7065   0.3690	0.7846	0.2712	0.4907	0.8396	2.8780	0.4802	1.4159	0.3458	0.8608
Model	SRResNet19	0.6180	1.7003   0.3677	0.7788	0.2701	0.4876	0.8483	2.9354	0.4847	1.4428	0.3487	0.8744
Ours	PQA-CNN	0.5988	1.5986   0.3555	0.7340	0.2621	0.4601	0.8090	2.6894	0.4631	1.3224	0.3334	0.8067

the land usage class of images is urban-fabric. The evaluation results are presented in Table I. We observed that the PQA-CNN scheme consistently outperforms all compared baselines across different deep features. For example, the performance gains of PQA-CNN over the best-performing baseline (i.e., SRGAN17) in Barcelona with the deep feature extracted by  $VGG_{4-1}$  on MAE and MSE are 3.49% and 6.48%, respectively. Such performance gains mainly come from the fact that PQA-CNN judiciously learns the uncertainty of the estimated RGB values in the reconstructed images through an integrated Duo-CNN and MLE hybrid design. The obtained uncertainty matrix is explicitly used to guide the reconstruction of the satellite image integrated from the ones generated by both *pre-upscaling* and *post-upscaling* networks.

**Evaluation results on perceptual quality for** *forest and green land* **and** *transportation*: In addition to *urban fabric*, we also evaluate the performance of all schemes over the *forest and green land* and *transportation* land classes in both *Barcelona* and *Madrid*. Our objective here is to evaluate whether PQA-CNN and the baselines are capable of providing reliable super-resolution results across completely different land usage classes. The evaluation results are shown in Table II and Table III. We observe that PQA-CNN continues to outperform all baselines over both the *forest and green land* 

and transportation classes in the two cites. For example, the performance gains achieved by PQA-CNN compared to the best-performing baseline (i.e., SRGAN17) in the forest and green land class in Madrid with the deep feature extracted by  $VGG_{4-2}$  on MAE and MSE are 3.78% and 7.01%, respectively. Similar performance gains are also observed in the transportation class in both cities. Such consistent performance gains demonstrate the effectiveness and robustness of PQA-CNN in learning the accurate uncertainty matrices to guide the convolutional neural networks to reconstruct high-quality images across diversified classes of land usage. Additionally, we also observe that all compared schemes tend to have lower perception errors in the forest and green land class compared to the other two classes. This is mainly because that the forest and green land class often has much less complex object layouts and color distributions than other classes (as shown in Figure 7), making it an easier super-resolution task for all compared schemes.

Effectiveness of PQA-CNN on uncertainty estimation: In the third experiment, we study the effectiveness on uncertainty estimation for our PQA-CNN model by tacking the values of the uncertain loss functions for both Pre-Net and Pos-Net (defined in Equation (9) and Equation (13), respectively). The results are shown in Figure 8. We observe that the uncertain

			Studied City	= Barcelona		Studied City = Madrid					
		VGG <sub>4-1</sub>		$G_{4-2} \mid VG$	$G_{4-3} \parallel VG$	$G_{4-1} \mid VG$	$G_{4-2}$	VG0	$VGG_{4-3}$		
Category	Algorithm	MAE	MSE   MAE	MSE MAE	MSE    MAE	MSE   MAE	MSE	MAE	MSE		
	NN	0.8189	3.0102   0.5070	1.6158 0.3964	1.1002    1.0101	4.1899   0.6157	2.2838	0.4717	1.5432		
Conv.	Bilinear	0.7941	2.9851   0.4973	1.5824   0.3845	1.0659    0.9788	4.1157   0.5962	2.1983	0.4492	1.4317		
Model	Biquadratic	0.7707	2.7832   0.4768	1.4610 0.3673	0.9733    0.9487	3.8388   0.5727	2.0376	0.4296	1.3143		
	Bicubic	0.7736	2.8094   0.4801	1.4788 0.3701	0.9880    0.9530	3.8773   0.5769	2.0634	0.4331	1.3349		
	SFSR18	0.7759	2.7210   0.4761	1.4405 0.3657	0.9611    0.9601	3.7656   0.5725	2.0186	0.4286	1.3104		
Deep	SRGAN17	0.7690	2.6893   0.4645	1.3871 0.3552	0.9047    0.9099	3.4976   0.5330	1.7833	0.3962	1.1355		
Model	SRResNet19	0.7683	2.6874   0.4639	1.3850 0.3543	0.8996    0.9054	3.4727   0.5304	1.7708	0.3940	1.1249		
Ours	PQA-CNN	0.7411	2.5159   0.4467	1.2943   0.3407	0.8417    0.8717	3.2378   0.5106	1.6537	0.3792	1.0520		

 Table III

 PERFORMANCE COMPARISONS (CLASS = Transportation)

loss function values for both Pre-Net and Pos-Net converge to minimum values quickly and remain stable afterward in different settings, indicating that our PQA-CNN model is effective in terms of obtaining an accurate uncertainty estimation. In addition, such fast convergence rate also demonstrates the efficiency and scalability of our PQA-CNN scheme in real world remote sensing applications.



(c) Class = Forrest and Green Land, (d) Class = Forrest and Green Land, City = Barcelona City = Madrid



(e) Class = Transportation, City = (f) Class = Transportation, City = Barcelona Madrid

Figure 8. Effectiveness of PQA-CNN on Uncertainty Estimation

## VI. CONCLUSION

In this paper, we develop a PQA-CNN approach to address the SISR problem in remote sensing applications. In particular, the POA-CNN scheme addresses two intrinsic challenges (i.e., perceptual quality assurance and uncertaintyaware super resolution). The PQA-CNN scheme incorporates a hybrid duo-branch neural network design, namely Duo-CNN, to reconstruct the high-resolution satellite images with perceptual quality assurance from a low-resolution image. Our scheme also integrates an uncertainty quantification model with deep neural networks to further improve the quality of the reconstructed images. We evaluate PQA-CNN on a real-world remote sensing application involving land usage classification. The results demonstrate that our PQA-CNN scheme significantly outperforms state-of-the-art baselines in addressing the SISR problem. The results of this paper are important because they can directly contribute to a broad set of remote sensing applications that rely on the high quality satellite images that are not always available to the applications (e.g., disaster assessment, poverty prediction, disease outbreak detection).

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